JAN 0 6 2003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2161

January 6, 2003

In Re PATEN	T APPLICATION OF	RECEIVED			
Applicants:	Eiichi HATAKEYAMA	JAN 0 8 2003			
Appln. No.:	10/046,709	<b>GROUP 3600</b>			
Filed :	January 17, 2002	) ) <u>INFORMATION</u> ) <b>DISCLOSURE</b>			
Conf. No. :	6076	) <u>STATEMENT</u>			
For :	METHOD FOR INVESTMENT MANAGEMENT	) 26694 ) PATENT TRADEMARK ) OFFICE			
Atty. Dkt. :	32307-177799	)			

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. 1.97 ff within the time specified in 37 C.F.R. 1.97(b).

Attached is a copy of a Japanese Official Action dated October 4, 2002 received in a corresponding Japanese Patent Application together with copies of the four cited documents.

An English translation of the Action is also being submitted herewith. The relevance of the publications is discussed in the Action. All of the publications are listed on an enclosed Form PTO-1449.

As all requirements of 37 C.F.R. 1.97 ff, and all official guidelines pertaining to Information Disclosure Statements, have been complied with, it is respectfully requested that the Examiner consider the publications and make them of record.

Respectfully submitted,

Date 2003

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FORM PTO-1449 INFORMATION DISCLOSURE CITATION		Atty Docket: 32307-177799		Application No.: 10/046,709					
		Applicant: Eiichi HAYAKEYAMA							
		Filing Date: January 17, 2002		Group: 2161					
U.S. PATENT DOCUMENTS									
Examiner Initial		Document Number	Date	JAN 0 8 201	Class	Sub - Clas s	Filing Date		
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	AB								
	AC								
FOREIGN PATENT DOCUMENTS									
Examiner Initial					Class	Sub - Clas s	Translation Yes No		
	AF	2000-268111	9-29-2000	Japan Abstract					
	AG	2001-325430	11-22-2001	Japan Abstract	-				
	AH								
	AH	:							
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)									
	AO	"Eco-Total, formation of intellectual property trust paves a way for raising funds by entrepreneurs" Nikkan Kogyo Newspaper, Feb 12, 1999.							
	AP	"Has David Bowie Started a New Era of Celebrity Securitzations?" Mullen et al., The Securitization Conduit, Vol. 1, No. 1, 1998, pps. 13-21.							
	AQ								
Examiner	-				Date Cons	sidered			
				in conformance with MPEP 60 form with next communication		rough			